HEWLETT-PACKARD COMP▮▮
Intellectual Property Adminis▮▮▮on
P. O. Box 272400
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO.   1001104▮4-2

$03CO
#6

**IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s):   Robert J. Gallagher et al.

Confirmation No.: 9510

Application No.: 09/995,318

Examiner: Not Assigned

Filing Date: 11/26/01

Group Art Unit: N.A.

Title: **INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR FINANCIAL DATA
COMPUTATION AND ANALYSIS**

Box MISSING PARTS
**COMMISSIONER FOR PATENTS
Washington, D.C.   20231**

<u>TRANSMITTAL LETTER FOR SUBMITTAL OF CORRECTED APPLICATION PAPERS</u>

Sir:

This is in response to a Notice to File Corrected Application Papers under 37 CFR 1.84 mailed on
____05/17/02____ . Enclosed is a copy of said Notice and the following documents to complete
the filing requirements of the above-identified application.

(X)   **Substitute drawing FIGS. 1-11 in compliance with 37 CFR 1.84**

Abstract of the Disclosure in compliance with 37 CFR 1.72(b)

(X)   A Petition for Extension of Time for reply to Notice to Correct Application is attached.

( )	one month	$110.00
( )	two months	$400.00
( )	three months	$920.00
(X)	four months	$1440.00

(X)   Please charge to Deposit Account 08-2025 the sum of ____$1440.00____ . At any time during
the pendency of this application, please charge any fees required or credit any overpayment to
Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X)   A duplicate copy of this transmittal letter is enclosed.

06/27/2002 MBERHE   00000024 082025   09995318
01 FC:118   1440.00 CH

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C.
20231.

Date of Deposit: **06/14/02**

Typed Name: **Grant E. Pollack**

Signature:_____

Respectfully submitted,

Robert J. Gallagher et al.

By _____

Grant E. Pollack, Esq.

Attorney/Agent for Applicant(s)
Reg. No.:   **34,097**

Date: **06/14/02**

Telephone No.: **(212) 768-3800**

Rev 10/01 (CORRECTAPP)

#6

JUN 24 2002
OIPE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
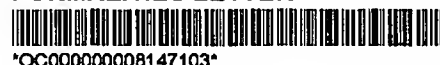UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,318	11/26/2001	Robert J. Gallagher	100110474-2

RECEIVED

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, CO 80527-2400

MAY 28 2002

HP LEGAL
IPA

CONFIRMATION NO. 9510

FORMALITIES LETTER

OC000000008147103

Date Mailed: 05/17/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 04/22/2002 to the Notice to File Missing Parts (Notice) mailed 12/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

    - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

US ACTION
DUE DATE
Paper Dated
OA ___ Final
Msg. Pt. ___ Dwgs
Appeal ___ Issue Fee
Other ___ Incomplete reply

#6

JUN 2 4 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,318	11/26/2001	Robert J. Gallagher	100110474-2

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, CO 80527-2400

CONFIRMATION NO. 9510
FORMALITIES LETTER

OC000000008147103

Date Mailed: 05/17/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 04/22/2002 to the Notice to File Missing Parts (Notice) mailed 12/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE